

# Counting graphs without given edge-induced subgraphs

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Combinatorial structures in perturbative QFT 2:  
transforms and graph counting  
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Why study graphs with forbidden subgraphs?

- Applications to perturbative QFT especially combined with asymptotic techniques.
- Applications to complex systems, network theory and random graphs.
- Interesting combinatorial and algebraic properties.

# Generating functions of graphs

For the set of all multigraphs  $\mathfrak{K} = \mathfrak{M}$ , we have the explicit formula,

$$\begin{aligned} f_{\mathfrak{M}}(\lambda_0, \lambda_1, \lambda_2, \dots) &= \sum_{\Gamma \in \mathfrak{M}} \frac{\prod_{v \in V_{\Gamma}} \lambda_{d_{\Gamma}^{(v)}}}{|\text{Aut } \Gamma|} \\ &= \sum_{n \geq 0} (2n - 1)!! [x^{2n}] e^{\sum_{k \geq 0} \lambda_k \frac{x^k}{k!}} \end{aligned}$$

where the  $\lambda_k$  **mark** the number of vertices of degree  $k$ .

- Combinatorial interpretation:  
graph = perfect matching  $\times$  set partition on half edges
- This is also the starting point for zero-dimensional QFT and the pairing model of multigraphs. Hurst [1952], Cvitanović, Lautrup, and Pearson [1978], Bender and Canfield [1978], Argyres, van Hameren, Kleiss, and Papadopoulos [2001]

# Restrictions on the allowed subgraphs

- Would like to obtain a generating function

$$f_{\mathfrak{M}/\mathfrak{P}}(\lambda_0, \lambda_1, \dots) := \sum_{\Gamma \in \mathfrak{M}} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma(v)}}{|\text{Aut } \Gamma|}$$

s.t.  $\Gamma$  has no subgraphs from  $\mathfrak{P}$

- Graphs in  $\mathfrak{P}$  shall keep the same degree as in the original graph.

This can be done by including graphs with **legs**. For instance,

$$\mathfrak{P} = \left\{ \text{○}, \text{○}, \text{○}, \text{○}, \dots \right\}$$

# The inverse problem

- Suppose, we have a generating function

$$f_{\mathfrak{M}/\mathfrak{P}}(\lambda_0, \lambda_1, \dots) = \sum_{\substack{\Gamma \in \mathfrak{M} \\ \text{s.t. } \Gamma \text{ has no subgraphs from } \mathfrak{P}}} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma^{(v)}}}{|\text{Aut } \Gamma|}$$

- and the generating functions of the graphs in  $\mathfrak{P}$ :

$$f_{\mathfrak{P}}^k(\lambda_0, \lambda_1, \dots) = \sum_{\substack{\Gamma \in \mathfrak{P} \\ \text{s.t. } \Gamma \text{ is cntd. and has } k \text{ legs}}} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma^{(v)}}}{|\text{Aut } \Gamma|}$$

- Can we reconstruct  $f_{\mathfrak{M}}$ , the generating function of all graphs?

## Theorem MB [2018 PhD thesis]

If for all  $\gamma_1, \gamma_2 \subset \Gamma \in \mathfrak{M}$ ,  $\gamma_1, \gamma_2 \in \mathfrak{P}$  implies  $\gamma_1 \cup \gamma_2 \in \mathfrak{P}$ , then

$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}/\mathfrak{P}}((0!)f_{\mathfrak{P}}^0(\lambda_0, \dots), (1!)f_{\mathfrak{P}}^1(\lambda_0, \dots), \dots)$$

- In words: The union of any pair of forbidden **subgraphs** is also forbidden.

# Example

- Suppose  $\mathfrak{P}_{\text{bl}}$  is the set of all bridgeless graphs.
- Clearly, for any two bridgeless subgraphs  $\gamma_1, \gamma_2 \in \Gamma$ ,  $\gamma_1 \cup \gamma_2$  is also bridgeless.
- Therefore,

$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}/\mathfrak{P}_{\text{bl}}}((0!)f_{\mathfrak{P}_{\text{bl}}}^0(\lambda_0, \dots), (1!)f_{\mathfrak{P}_{\text{bl}}}^1(\lambda_0, \dots), \dots)$$

- $\mathfrak{M}/\mathfrak{P}_{\text{bl}}$  is the set of all graphs without bridgeless subgraphs.  
I.e. forests.

The generating function of forests is

$$f_{\mathfrak{M}/\mathfrak{P}_{\text{bl}}}(\lambda_0, \lambda_1, \dots) = \sum_{\substack{\Gamma \in \mathfrak{M} \\ \text{s.t. } \Gamma \text{ is a forest}}} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma(v)}}{|\text{Aut } \Gamma|} = e^{-\frac{\varphi_c^2}{2} + \sum_{k \geq 0} \lambda_k \frac{\varphi_c^k}{k!}}$$

where  $\varphi_c \in \mathbb{Q}[[\lambda_1, \lambda_2, \dots]]$ , the unique solution of

$$\varphi_c = \sum_{k \geq 0} \lambda_{k+1} \frac{\varphi_c^k}{k!},$$

the generating function of **rooted trees**.



$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}/\mathfrak{P}_{\text{bl}}}((0!)f_{\mathfrak{P}_{\text{bl}}}^0(\lambda_0, \dots), (1!)f_{\mathfrak{P}_{\text{bl}}}^1(\lambda_0, \dots), \dots)$$

- Using the generating function of forests we get,

$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = e^{-\frac{\tilde{\varphi}_c^2}{2} + \sum_{k \geq 0} f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots) \tilde{\varphi}_c^k}$$

where  $\tilde{\varphi}_c$  is the solution of  $\tilde{\varphi}_c = \sum_{k \geq 0} k f_{\mathfrak{P}_{\text{bl}}}^{k+1}(\lambda_0, \lambda_1, \dots) \tilde{\varphi}_c^k$

- Only one graph is bridgeless and has a one-valent vertex:  $\rightarrow$ , the vertex with one leg.
- Therefore,

$$\begin{aligned} \frac{\partial}{\partial \lambda_1} \log(f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots)) &= \frac{\partial}{\partial \lambda_1} \left( -\frac{\tilde{\varphi}_c^2}{2} + \sum_{k \geq 0} f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots) \tilde{\varphi}_c^k \right) \\ &= \frac{\partial \tilde{\varphi}_c}{\partial \lambda_1} \frac{\partial}{\partial \tilde{\varphi}_c} \left( -\frac{\tilde{\varphi}_c^2}{2} + \sum_{k \geq 0} f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots) \tilde{\varphi}_c^k \right) + \sum_{k \geq 0} \frac{\partial f_{\mathfrak{P}_{\text{bl}}}^k}{\partial \lambda_1} \tilde{\varphi}_c^k \\ &= \tilde{\varphi}_c \end{aligned}$$

- Gives an expression for the generating functions  $f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots)$  of connected bridgeless graphs:

$$\sum_{k \geq 0} f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots) \tilde{\varphi}_c^k = \frac{\tilde{\varphi}_c^2}{2} + \log(f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots))$$

where we only need to solve the equation,

$$\tilde{\varphi}_c = \frac{\partial}{\partial \lambda_1} \log(f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots))$$

for  $\lambda_1$  to obtain  $f_{\mathfrak{P}_{\text{bl}}}^k(\lambda_0, \lambda_1, \dots)$  explicitly. This operation is a **Legendre transformation**.

- We are able to reduce the equation,

$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}/\mathfrak{P}_{\text{bl}}}((0!)f_{\mathfrak{P}_{\text{bl}}}^0(\lambda_0, \dots), (1!)f_{\mathfrak{P}_{\text{bl}}}^1(\lambda_0, \dots), \dots)$$

which is implicit in an **infinite** number of variables to a **single** implicit equation.

- To study the reason for this an algebraic viewpoint is helpful.

# Algebra homomorphisms of graphs

Define an algebra generated by all graphs with disjoint union as multiplication,

$$\mathcal{G} := \left\langle \left\{ \begin{array}{c} \text{---} \circ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \circ \text{---} \\ \text{---} \circ \text{---} \circ \text{---} \\ \dots \end{array} \right\} \right\rangle$$

- We can study algebra homomorphisms  $\phi : \mathcal{G} \rightarrow \mathbb{A}$ .

- For instance,

$$\phi : \mathcal{G} \rightarrow \mathbb{Q}[[\lambda_0, \lambda_1, \dots]]$$

$$\phi : \Gamma \mapsto \prod_{v \in V_\Gamma} \lambda_{d_\Gamma(v)}$$

- Setting  $\mathfrak{X} = \sum_{\Gamma \in \mathfrak{M}} \frac{\Gamma}{|\text{Aut } \Gamma|}$  we recover,

$$\phi(\mathfrak{X}) = f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = \sum_{n \geq 0} (2n - 1)!! [x^{2n}] e^{\sum_{k \geq 0} \lambda_k \frac{x^k}{k!}}$$

- We would like to put compositions of the form

$$f(g^0(\lambda_0, \dots), g^1(\lambda_0, \dots), \dots)$$

into the algebraic framework.

- To do this we use a generalization of the Connes-Kreimer Hopf algebra Connes and Kreimer [2001].

- The starting point is to equip  $\mathcal{G}$  with a **coproduct**:

$$\begin{aligned} \Delta : \quad \mathcal{G} &\rightarrow \mathcal{G} \otimes \mathcal{G} \\ \Gamma &\mapsto \sum_{\gamma \subset \Gamma} \gamma \otimes \Gamma/\gamma \end{aligned}$$

where the sum is over all edge-induced subgraphs of  $\Gamma$ .

Example:

$$\begin{aligned} \Delta \text{---} \circ \text{---} &= \sum_{\gamma \subset \text{---} \circ \text{---}} \gamma \otimes \text{---} \circ \text{---} / \gamma = 1 \otimes \text{---} \circ \text{---} + \text{---} \circ \text{---} \otimes \bullet \\ &\quad + 3 \text{---} \circ \text{---} \otimes \text{---} \circ \text{---} + 3 \text{---} \circ \text{---} \otimes \text{---} \circ \text{---} \end{aligned}$$

- The coproduct gives rise to a **group structure**  $\Phi_{\mathbb{A}}^{\mathcal{G}}$  on the set of all algebra homomorphisms.
- If  $\phi$  and  $\psi$  are algebra homomorphisms  $\mathcal{G} \rightarrow \mathbb{A}$ , then

$$\phi \star \psi = m \circ (\phi \otimes \psi) \circ \Delta$$

is another algebra homomorphism.



- We have an identity on  $\mathcal{G}$  Kreimer [2006], Yeats [2008], MB [2014]

$$\Delta \mathfrak{X} = \sum_{\Gamma} \prod_{v \in V_{\Gamma}} (d_{\Gamma}^{(v)}!) \mathfrak{X}^{(v)} \otimes \frac{\Gamma}{|\text{Aut } \Gamma|},$$

where  $\mathfrak{X} = \sum_{\Gamma} \frac{\Gamma}{|\text{Aut } \Gamma|}$  and  $\mathfrak{X}^{(v)} := \sum_{\text{res } \Gamma = v} \frac{\Gamma}{|\text{Aut } \Gamma|}$ .

- Allows the explicit evaluation of products of algebra homomorphisms.
- For instance if  $\psi, \zeta \in \Phi_{\mathbb{A}}^{\mathcal{G}}$  with  $\zeta$  being the characteristic map  $\zeta : \Gamma \mapsto 1$ ,

$$\begin{aligned} \psi \star \zeta (\mathfrak{X}) &= \sum_{\Gamma \in \mathfrak{M}} \prod_{v \in V_{\Gamma}} \frac{(d_{\Gamma}^{(v)}!) \psi (\mathfrak{X}^{(v)})}{|\text{Aut } \Gamma|} \\ &= f_{\mathfrak{M}} \left( (0!) \psi (\mathfrak{X}^{(0)}), (1!) \psi (\mathfrak{X}^{(1)}), \dots \right) \end{aligned}$$

where we recover our generalized composition of power series.

A given set of graphs  $\mathfrak{P}$ , which is **closed under insertion and contraction of subgraphs** corresponds to a **Hopf ideal**  $h_{\mathfrak{P}}$  of  $\mathcal{G}$ .

- Every ideal  $h_{\mathfrak{P}}$  gives rise to another group  $\Phi_{\mathbb{A}}^{\mathcal{G}/h_{\mathfrak{P}}}$  which **acts** on  $\Phi_{\mathbb{A}}^{\mathcal{G}}$ .
- Quotients  $\mathcal{G}/h_{\mathfrak{P}}$  give rise to annihilation mappings,

### Theorem MB [2018 PhD thesis]

$$\zeta^{\star-1}|_{\mathfrak{P}} \star \zeta(\Gamma) = \begin{cases} 1 & \text{if } \Gamma \text{ does not contain a subgraph from } \mathfrak{P}. \\ 0 & \text{else} \end{cases}$$

where  $\zeta$  is the characteristic map  $\zeta : \Gamma \mapsto 1$ .

- These maps allow us to obtain generating functions of graphs without subgraphs in  $\mathfrak{P}$ .

By using the Theorem and the factorization formula for the coproduct:

$$\begin{aligned}
 sk_\lambda \star (\zeta^{\star-1}|_{\mathfrak{P}} \star \zeta)(\mathfrak{X}) &= \sum_{\substack{\Gamma \in \mathfrak{M} \\ \text{s.t. } \Gamma \text{ has no subgraphs from } \mathfrak{P}}} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma^{(v)}}}{|\text{Aut } \Gamma|} \\
 (sk_\lambda \star \zeta^{\star-1}|_{\mathfrak{P}}) \star \zeta(\mathfrak{X}) &= \sum_{\Gamma \in \mathfrak{M}} \prod_{v \in V_\Gamma} \frac{(d_\Gamma^{(v)}!) sk_\lambda \star \zeta^{\star-1}|_{\mathfrak{P}}(\mathfrak{X}^{(v)})}{|\text{Aut } \Gamma|} \\
 &= f_{\mathfrak{M}} \left( (0!) sk_\lambda \star \zeta^{\star-1}|_{\mathfrak{P}}(\mathfrak{X}^{(0)}), (1!) sk_\lambda \star \zeta^{\star-1}|_{\mathfrak{P}}(\mathfrak{X}^{(1)}), \dots \right)
 \end{aligned}$$

where  $sk_\lambda$  maps graphs without edges to  $\prod_{v \in V_\Gamma} \lambda_{d_\Gamma^{(v)}}$  and all other graphs to 0.

Therefore,

$$f_{\mathfrak{M}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}/\mathfrak{P}}((0!)f_{\mathfrak{P}}^0(\lambda_0, \dots), (1!)f_{\mathfrak{P}}^1(\lambda_0, \dots), \dots)$$

can be solved for the generating function of graphs without subgraphs in  $\mathfrak{P}$ :

$$f_{\mathfrak{M}/\mathfrak{P}}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}}((0!)g_{\mathfrak{P}}^0(\lambda_0, \dots), (1!)g_{\mathfrak{P}}^1(\lambda_0, \dots), \dots)$$

where

$$\begin{aligned} g_{\mathfrak{P}}^k(\lambda_0, \lambda_1, \dots) &= sk_{\lambda} \star \zeta^{\star-1}|_{\mathfrak{P}}(\mathfrak{x}^{(k)}) \\ &= \sum_{\substack{\Gamma \in \mathfrak{P} \\ \Gamma \text{ cntd. with } k \text{ legs}}} \zeta^{\star-1}|_{\mathfrak{P}}(\Gamma) \frac{\prod_{v \in V_{\Gamma}} \lambda_{d_{\Gamma}^{(v)}}}{|\text{Aut } \Gamma|} \end{aligned}$$

and  $\zeta^{\star-1}|_{\mathfrak{P}}(\Gamma)$  can be expressed as a Moebius function,

$$\zeta^{\star-1}|_{\mathfrak{P}}(\Gamma) = -1 - \sum_{\substack{\gamma \subsetneq \Gamma \\ \gamma \in \mathfrak{P}}} \zeta^{\star-1}|_{\mathfrak{P}}(\gamma)$$

# Example

- Set  $\mathfrak{P}_-$  to the set of all graphs with one leg, for instance  $\leftarrow \bigcirc$ .
- Clearly, this set is closed under contraction and insertion of subgraphs.
- The set  $\mathfrak{M}/\mathfrak{P}_-$  of graphs without subgraphs from  $\mathfrak{P}_-$  is the set of bridgeless graphs  $\Rightarrow$  dual to our first problem!
- Using our results,

$$f_{\mathfrak{M}/\mathfrak{P}_-}(\lambda_0, \lambda_1, \dots) = f_{\mathfrak{M}}\left(\left(0!\right)g_{\mathfrak{P}_-}^0(\lambda_0, \dots), \left(1!\right)g_{\mathfrak{P}_-}^1(\lambda_0, \dots), \dots\right)$$

where now  $g_{\mathfrak{P}_-}^k(\lambda_0, \dots) = \lambda_k$  for all  $k \neq 1$ .

- Moreover, by analysing the Moebius function we find that

$$g_{\mathfrak{P}_-}^1(\lambda_0, \dots) = - \sum_{\Gamma \in \mathfrak{P}_-} \frac{\prod_{v \in V_\Gamma} \lambda_{d_\Gamma(v)}}{|\text{Aut } \Gamma|}$$

s.t.  $\Gamma$  is bridgeless

# Conclusions

- Under certain conditions, we may obtain the generating functions of graphs without a given set of subgraphs.
- Explicit formulas may be obtained using Hopf algebra techniques.
- We can also mark instances of subgraphs with extra variables using specific algebra homomorphisms.
- Asymptotics are accessible using techniques in the ring of factorially divergent power series MB [2016].

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